

(Chief Officer)

Orkney Health and Care

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Agenda Item: 5.

Integration Joint Board – Audit Committee

Date of Meeting: 30 August 2018.

Subject: Internal Audit Annual Report and Assurance Statement 2017 to 2018.

1. Summary

1.1. Internal audit has a duty to provide an annual assurance statement on the overall adequacy and effectiveness of the framework of governance, risk management and control. As Chief Internal Auditor, this annual report provides my opinion on the Orkney Integration Joint Board's (OIJB) internal control framework for financial year 2017 to 2018.

2. Purpose

2.1. This report has been prepared to present the Internal Audit Annual Report and Assurance Statement for 2017 to 2018.

3. Recommendations

The Audit Committee is invited to:

3.1. Note the Internal Audit Annual Report and Assurance Statement for 2017 to 2018, attached as Appendix 1 to this report.

4. Background

4.1. The Integrated Resources Advisory Group (IRAG) guidance outlines the responsibility of the Integration Joint Board (IJB) to establish adequate and proportionate internal audit arrangements for review of the adequacy of the arrangements for risk management, governance and control of the delegated resources.

4.2. The Chief Internal Auditor is required to provide an annual internal audit report including an audit opinion on risk management, governance and control.

4.3. The IRAG guidance recommends that the annual internal audit report is shared with the partner Health Board and Local Authority through the reporting arrangements in those bodies for internal audit.

5. Contribution to quality

5.1. The table below indicates which of the Council Plan 2018 to 2023 and 2020 vision/quality ambitions are supported in this report.

Promoting survival: To support our communities.	No.
Promoting sustainability: To make sure economic, environmental and social factors are balanced.	No.
Promoting equality: To encourage services to provide equal opportunities for everyone.	No.
Working together: To overcome issues more effectively through partnership working.	Yes.
Working with communities: To involve community councils, community groups, voluntary groups and individuals in the process.	No.
Working to provide better services: To improve the planning and delivery of services.	Yes.
Safe: Avoiding injuries to patients from healthcare that is intended to help them.	No.
Effective: Providing services based on scientific knowledge.	Yes.
Efficient: Avoiding waste, including waste of equipment, supplies, ideas, and energy.	Yes.

6. Resource implications and identified source of funding

6.1. The provision of the internal audit service for 2017 to 2018 has been contained within the contingency allocation of audit time by both OIC Internal Audit and the NHSO internal audit service providers, Scott-Moncrieff. This has therefore not resulted in any additional cost to any party.

7. Risk assessment

7.1. There are no risks associated with this report. The provision of an annual internal audit opinion strengthens the governance arrangements of the OIJB.

8. Conclusion

8.1. Members are asked to support the recommendations in this report.

9. Author

9.1. Olwen Sinclair (Chief Internal Auditor), Orkney Health and Care.

10. Contact details

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11. Supporting documents

11.1. Appendix 1 – Internal Audit Annual Report and Assurance Statement 2017 to 2018.